

Prudential International – Signatureless Bond

New Business Adviser Declaration

Financial Adviser declaration to be used with New Business applications on Prudential International products only.

Client(s) Name:

Product applying for:

Financial Adviser Firm Name:

Financial Adviser Name:

By submitting this application I confirm that:

1.

is an authorised financial adviser firm permitted to do business with Prudential International,

and, I

am authorised by the financial adviser firm to execute business on its behalf.

2. I have all the necessary authority from my client(s) to complete this application and submit it on their behalf.
3. The information in the Personal Details section was obtained by me in relation to the customer.
4. I have explained the following to my client(s):
 - a. Key Features Document (KFD)
 - b. This Adviser Declaration Notice
 - c. Confirmation schedule
 - d. Policy conditions as amended from time to time.
5. I confirm that I have provided my client(s) with the Key Information Document and relevant Investment Option Document(s) for this product.
6. I've ensured that my Client(s) has had sufficient time to read and understand all information regarding their Application
7. I have agreed with my client(s) the level and frequency of adviser charging (or for certain products and where appropriate, adviser commission) and have explained that payment of the adviser charging (or for certain products and where appropriate, adviser commission) will be facilitated through the product.
8. By submitting my client's information to Prudential International, I'm confirming that I have permission from my client(s) for Prudential International to process their personal data. I've provided my client(s) with your data privacy notice, which can be found at www.mandg.com/pru/prudential-international-hub/en-gb/privacy-fair-collection-notice before asking you to process their personal data so that they understand how and why their personal data is used, who you may share it with and their rights around their personal data in accordance with data protection legislation.

Financial Adviser declaration to be used with New Business applications on Prudential International products only – continued

9. The details I have submitted on behalf of my client(s) in this application accurately reflect the details they have given me for this purpose and I certify that I've verified the identity of my client(s) for this application and of any other relevant partners to this contract (eg trustees) based on original documents, data or information obtained from a reliable and independent source and in accordance with the requirements of Prudential International, as set out in its Anti Money Laundering (AML) Requirements Guide, and therefore the requirements of the Criminal Justice (Money Laundering and Terrorist Financing) Act 2010 (as amended) (CJA 2010).
10. Where applicable, I've attached the Tax Residency Self-Certification form on behalf of my client(s).
11. I've attached the Declaration of residence outside Ireland form – **Please note that this form is required by the Irish Revenue Commissioners and will need to be signed and completed by the client(s) in order for the bond to issue.**
12. I acknowledge that I may be liable for any losses caused to Prudential International as a result of Prudential International processing this application where I don't have my client's permission to do so or where I've not submitted this request in accordance with my client's instruction, e.g., by providing false or misleading information and/or documents.

Date completed:

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www.pru.co.uk/international

The registered office of Prudential International is in Ireland at Fitzwilliam Court, Leeson Cl, Dublin 2, D02 TC95. Prudential International is a marketing name of Prudential International Assurance plc, a life assurance company operating from Ireland. Registration No. 209956. Prudential International Assurance plc is authorised and regulated by the Central Bank of Ireland and in the context of its UK regulated activities only, is authorised by the Prudential Regulation Authority and subject to regulation by the Financial Conduct Authority and limited regulation by the Prudential Regulation Authority. Details about the extent of our regulation by the Prudential Regulation Authority are available from us on request. Prudential International is part of the same corporate group as The Prudential Assurance Company Limited. Both The Prudential Assurance Company Limited and Prudential International are direct and indirect subsidiaries respectively of M&G plc, a company incorporated in the United Kingdom. The Prudential Assurance Company Limited is not affiliated in any manner with Prudential Financial, Inc, a company whose principal place of business is in the United States of America or Prudential plc, an international group incorporated in the United Kingdom.