

Signatureless bond new business

Adviser declaration – additional investment

Client name:

Top Up Product

(please tick as appropriate):

Prudential Investment Plan

PruFund Investment Plan

By submitting this application I confirm that:

1. I'm an authorised financial adviser.
2. I have all the necessary authority from my client(s) to complete this application and submit it on their behalf.
3. I have explained the following to my client(s):
 - a. key features
 - b. this declaration notice
 - c. policy conditions as amended from time to time
4. I have ensured that my client(s) have had sufficient time to read and understand all information regarding their top up request.
5. I have agreed with my client(s) the level and frequency of adviser charging and have explained that payment of the adviser charging will be facilitated through the product, where applicable.
6. By submitting my client's (clients') information to Prudential UK*, I'm confirming that I have permission from my client(s) for Prudential UK to process their data.

I have provided my client(s) with the data protection notice, which can be found at pru.co.uk/mydata before asking Prudential UK to process their data so that they understand how and why their personal information is used, who they may share it with and their rights around their personal information in accordance with data protection legislation.
7. The details I have submitted on behalf of my client(s) in this application:
 - a. are a true accurate reflection of the details they have given me to proceed with the application. I certify this in accordance with the provisions of the UK Money Laundering Regulations 2017 and the Guidance Notes for the Financial Sector (as amended from time to time).
 - b. verify the identity of my client(s) for this application and of any other relevant partners to this contract (eg trustees) based on original documents, data or information obtained from a reliable and independent source.
8. The evidence I have obtained to verify the identity of my client(s) and any other relevant partners to the contract meets the standard evidence set out within the guidance for the UK Financial Sector issued by the Joint Money Laundering Steering Group (JMLSG).
9. Where applicable, I have attached the Tax Residency Self-Certification form on behalf of my client(s).
10. I acknowledge that I may be liable for any losses caused to Prudential as a result of Prudential processing this application where I don't have my client(s) permission to do so or where I have not submitted this request in accordance with my client's (clients') instruction, eg by providing false or misleading information and/or documents.

* Prudential UK means The Prudential Assurance Company Limited, Prudential Distribution Limited, Prudential Lifetime Mortgages Limited, Prudential Pensions Limited and M&G Advice Partners Limited as appropriate.

Adviser name:

pruadviser.co.uk