

Beneficial Owner

Supplementary Information Form



This form:

- is to be used to provide M&G with details of Beneficial Owners when you are making an investment in your name on behalf of another person.

M&G may refuse your application if the Beneficial Owners details are not provided or are incomplete.

UK Money Laundering legislation requires M&G to obtain details of individuals who ultimately own or control the investment or on whose behalf the investment is being made.

For applicants acting as Trustee(s) please provide details of all Settlers (even if deceased), any further Trustees or others that have any control over the management of the Trust or arrangement, and any named individual or classes that have a potential entitlement to 25% or more of the property of the Trust assets (even where discretion exists), within section one of this form. If you are investing on behalf of a Trust, please confirm the name of the Trust within section two.

Please complete this form in blue or black ink, using CAPITAL letters and ticking the appropriate boxes.

1 Personal details

Please give the full name(s) of all Beneficial Owners.

Beneficial Owner 1

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other ☐

Forename(s)	
Surname	
Permanent residential address	
Postcode	

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Beneficial Owner 2 (if applicable)

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other ☐

Forename(s)	
Surname	
Permanent residential address	
Postcode	

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Beneficial Owner 3 (if applicable)

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other ☐

Forename(s)	
Surname	
Permanent residential address	
Postcode	

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Nationality

Occupation
(eg retiree, manager, professional, sales, self employed, etc)

Occupation sector (eg distribution, education, financial services, healthcare, transport and logistics, etc)

Please leave blank if you are a retiree/house maker

Capacity*

Nationality

Occupation
(eg retiree, manager, professional, sales, self employed, etc)

Occupation sector (eg distribution, education, financial services, healthcare, transport and logistics, etc)

Please leave blank if you are a retiree/house maker

Capacity*

Nationality

Occupation
(eg retiree, manager, professional, sales, self employed, etc)

Occupation sector (eg distribution, education, financial services, healthcare, transport and logistics, etc)

Please leave blank if you are a retiree/house maker

Capacity*

1 Personal details (continued)

Beneficial Owner 4 (if applicable)

Mr ☐ Mrs ☐ Miss ☐ Ms ☐ Other ☐

Forename(s)

Surname

Permanent residential address

Postcode

Date of birth

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Nationality

Occupation

(eg retiree, manager, professional, sales, self employed, etc)

Occupation sector (eg distribution, education, financial services, healthcare, transport and logistics, etc)

Please leave blank if you are a retiree/house maker

Capacity*

*eg, Trustee, Settlor, Beneficiary, other.

If there are more than four Beneficial Owners falling under the definition above, please provide details on a separate sheet.

Please specify how the account will be funded? (eg earnings, inheritance, savings, sale of investments, etc)

2 Investing on behalf of a Trust

If you are investing on behalf of a Trust, please confirm the name of the Trust in the box below. Please note, although investments cannot be registered in the name of the Trust, we are required to capture information about the Trust under tax regulations.

Please record the name of the Trust here:

(Limited to 45 characters)

3 Data protection and privacy

We collect personal data from you for the purposes of administering your investment.

At M&G we are committed to protecting your privacy. To learn more about how we process your personal data please refer to our Privacy Notice available at www.mandg.co.uk/privacynotice.

4 Declaration and authorisation

The Beneficial Owners named overleaf are known to me/us and I/we undertake to make those named aware that they may be subject to the same data protection and money laundering verification provisions as outlined in the M&G Terms and Conditions (as documented on the application form accompanying this Beneficial Owner form).

Investor 1

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Investor 2 (if applicable)

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Investor 3 (if applicable)

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Investor 4 (if applicable)

Signature

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

! Returning your form

Please send your completed form to: **M&G Securities Limited, PO Box 9039, Chelmsford CM99 2XG.**

☐ Have you read, signed and dated the Declaration and authorisation above?

How to contact us

Call us

 **0800 390 390**

We are open Monday to Friday from 08:00 to 18:00 and on Saturday from 09:00 to 13:00. For your security and to improve the quality of our service, we may record and monitor telephone calls.

Visit our website


 **www.mandg.co.uk**

Email us

 **info@mandg.co.uk**

Please note that information in an email cannot be guaranteed as secure. Do not include sensitive information in emails to M&G.

Write to us

 **M&G Customer Relations
PO Box 9039
Chelmsford
CM99 2XG**

 **M&G**
Investments