

# Fund Switching form

Please use black ink and CAPITAL LETTERS or tick  as necessary.

Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

## About this form

This form may be used only for the PruFund Investment Plan Mark 2 & Mark 3. For Fund Switching requests for the non Mark 2 & Mark 3 versions of the PruFund Investment Plan please use form INV6651.

## Important Information

- Switches into the PruFund Protected Cautious Fund or PruFund Protected Growth Fund are not permitted at any time.
- Any Fund Switch will be subject to a 28 day notice period and actioned using the unit price applying on the 28th calendar day (or the next working day if this is not a working day) following receipt of this form by Prudential. The only exceptions are switches from the PruFund Protected Growth Fund to the PruFund Growth Fund or PruFund Protected Cautious Fund to the PruFund Cautious Fund where the notice period will not apply. For these exceptions if we receive a switch request by mid-day on a working day, we'll process it at the price determined later that day; if we receive it after mid-day, we'll process it at the price determined the next working day.
- Only one switch may be requested each quarter except for switches from a PruFund Protected Fund into its corresponding PruFund Fund. The quarter dates are 25 February, 25 May, 25 August and 25 November.
- Switches into a PruFund Fund will initially be invested into the corresponding PruFund Account except for switches from a PruFund Protected Fund into its corresponding PruFund Fund which will be invested straight into the Fund and not into the Account. At the next quarter date the investment will be automatically transferred from the Account to the corresponding PruFund Fund.
- A switch request can not be made from any of the PruFund Accounts.
- A partial switch from a protected PruFund fund will proportionately reduce the Guaranteed Minimum Fund Value.
- A full switch from a protected PruFund fund before the guarantee date, will reduce the Guaranteed Minimum Fund Value to nil and the guarantee will no longer apply. The monthly charge for the guarantee will stop immediately. It will not be possible to switch back into a protected PruFund fund at a later date.
- For fund switches on, or after 1 January 2018, you should read your Key Information Document, and relevant Investment Option Document(s) as they contain important information. For products closed before 1 January 2018, but can still be topped up, we do not provide these documents. So please refer to your Key Features Document for further information.
- We provide an Investment Option Document for funds which may be switched into, with the exception of Prudential With-Profits funds (this fund is only available to existing plan holders before 11/09/2017).
- Not all our funds are available to switch into. For more information about our available fund, please see the current Fund Guide. This is available at [www.pru.co.uk/fund/guides](http://www.pru.co.uk/fund/guides).

## Fund variation to switch existing units

If you would like information on the PruFunds available, please see [www.pru.co.uk](http://www.pru.co.uk) or call 0345 640 1000. Lines are open Monday to Friday 8am to 6pm. Calls to Prudential may be monitored or recorded for quality and security purposes.

| Policy No(s) <sup>†</sup> | Enter the Fund(s) from which the “switch” is to be made and the percentage to be “switched”. If percentage is not given, 100% will be assumed. |   | Enter the Fund(s) into which the “switch” is to be made and the percentage split if into more than one Fund. If percentage is not given, the amount available will be split equally between the Funds selected. |   |
|---------------------------|--|---|---|---|
|                           | *Fund(s)   | % | *Fund(s)  | % |
|                           |  |   |   |   |

<sup>†</sup> Only the first policy number in each group should be quoted as all policies within that group will be switched identically.

\* **If you wish to rebalance your “whole” investment**, please write the words “All Funds” in the switch “from” column and specify the appropriate funds in the switch “into” column, ensuring that the final column adds up to 100%.

## Declaration

For your own benefit and protection, you need to read carefully the documentation provided before signing this form. You also need to read carefully any further documentation provided to you in the future. If there is anything you do not understand, please ask us for further information.

I/we, the legal owner(s) of this plan, request Prudential to switch existing units on the 28th calendar day (or the next working day if this is not a working day) following receipt of this form at their Administration Office and apply the proceeds as requested. For switches from the PruFund Protected Growth Fund to the PruFund Growth Fund or PruFund Protected Cautious Fund to the PruFund Cautious Fund I/we understand that the 28 day notice period does not apply. I/we understand that once this request has been received by you I/we can not withdraw or replace it. In addition I/we understand that Prudential reserves the right to refuse, restrict or delay any investment into the PruFund funds.

Signature of Owner

Please provide a contact number should we need to contact you about this switch instruction

Signature of Owner

Signature of Owner

Signature of Owner

Date

|   |   |   |   |   |   |   |   |
|---|---|---|---|---|---|---|---|
| D | D | M | M | Y | Y | Y | Y |
|---|---|---|---|---|---|---|---|

**If a policy(ies) is held subject to a trust then all trustees must sign.**

An original statement of confirmation will be sent direct to the owner(s).