

Fund switch request

Notes to help you

Please use black ink and write in CAPITAL LETTERS or tick ☒ as appropriate. You must initial any corrections. Please don't use correction fluid as this will invalidate your request.

Please read the following notes before completing this form.

a) The Company may make a charge for dealing when you switch an existing investment. This charge will normally apply if you request more than the number of free deals allowed in each policy year.

For fund switches, you should read the Fund Managers own Key Investor Information Document(s) as appropriate as they contain important information. For products closed before 1 January 2018, but can still be topped up, we do not provide these documents.

b) Where you have a group of policies for your bond any transactions will be dealt with on a basis which keeps the policies identical throughout.

c) If you have appointed one or more Discretionary Asset Managers (DAMs), they will carry out any switches for the holdings they manage. In this case, you should use this form only if you want to switch from one DAM to another or to switch investments away from a DAM to be managed by yourself (or with your financial adviser). Please send this completed form to:

**Prudential International Assurance, PO Box 13395,
Chelmsford, CM99 2GH.**

Section A – Personal details

Bond Number

Policyholders(s)

Email address(es)

Telephone number(s)

Section B – De-selecting a Discretionary Asset Manager

If you don't want to continue to use a DAM i.e. switching away all the holdings you have with that DAM, please tick the box and complete the relevant part of Section C – either C1 to switch out of all existing holdings or C2 to switch a specified percentage of existing unit allocation from one fund or DAM to another.

☐

Section C – Switch of existing investment

All switches will be processed at the relevant price for units in the funds concerned, normally at the next fund dealing date following receipt of this form, correctly completed, at the Company's Administration Centre in Dublin.

Please note that you can't switch holdings directly to or from a DAM – the holdings must first be switched into the relevant Deposit Account before the value can be transferred to the DAM for investment. If you are appointing a DAM for the first time, please also complete a Discretionary Asset Manager nomination form (DPBF10048).

Negative Deposit Account Balance – Applies to Portfolio Account and Prudential International Investment Portfolio only

There may at times be a negative balance in your Deposit Account. Following receipt of the value from the funds you are switching out of, you may clear any negative balance in your Deposit Account and invest the balance (if any) as specified in your instruction. This only applies to the element of your investment that is not managed by a DAM.

Would you like to use some of the proceeds to clear any negative balance you may have?

Yes ☐

No ☐

Section C – Switch of existing investment – continued

Where you manage all your investments yourself or with your financial adviser

To switch out of all existing holdings and: i) reinvest into a new investment selection, ii) transfer the value to one or more DAMs, or iii) transfer part of the value to one or more DAMs, with the balance being reinvested into a new investment selection	Complete Section C1 with: i) your new fund choice ii) your choice of DAM(s) iii) your choice of DAM(s) and funds
To switch part or all of an existing unit allocation: i) from one fund to another, or ii) from one fund to a DAM	Complete Section C2 with details of the funds you are switching out of and the funds or DAMs you are switching to

Where all your investments are managed by one or more DAMs

To switch out of all existing holdings and transfer the value: i) to a new DAM or DAMs, ii) to funds you select yourself, or iii) to a mix of both	Complete Section C1 with: i) your choice of DAM(s) ii) your choice of funds iii) your choice of DAM(s) and funds
To switch out of some holdings managed by one or more DAMs and transfer the value to one of the above options	Complete Section C2 with details of the DAMs you are switching away from and the DAMs or funds you are switching to.

Where some of your investments are managed by one or more DAMs and the balance by yourself or your Financial Adviser

For all switches	Complete Section C2 with details of the DAMs or funds you are switching away from and the DAMs or funds you are switching to
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Section C1: to switch out of all existing holdings

Name of fund/Discretionary Asset Manager:	Sedol number/ISIN*	Currency	%**
		Total	100%

* Not required for a Discretionary Asset Manager.

** Percentage of amount switched, to two decimal places.

The allocated units in the bond will be cancelled for the switch. The value of the cancelled units (less any charge for the switch) will be applied in allocating units in the funds or to the DAMs you specify above.

If there are two or more funds or DAMs you must specify the percentage which is to be allocated to each of them.

Section C2: to switch a specified percentage of existing unit allocation from one fund or DAM to another

Fund name and SEDOL number/ISIN or Discretionary Asset Manager name	Currency	%** or amount	Fund name and SEDOL number/ISIN or Discretionary Asset Manager name	Currency	%**

** Percentage of amount switched, to two decimal places.

Units will be cancelled to the value of the percentage or amount to be switched. The value of the cancelled units (less any charge for the switch) will be applied in allocating units in the funds or to the DAMs you specify above.

Section D – Authorisation

1. I/We request the Company to implement the transaction(s) specified in this form.
2. I/We certify that
 - a) The bond belongs to me/us.
 - b) No other person has an entitlement of any kind in respect of the bond overriding or restricting my/our entitlement in any way.
 - c) I/We have authority to request this transaction.

Capacity (please tick appropriate box)

Individual owner(s) ☐ Trustee(s) ☐ Trust company officer(s) ☐ Authorised signatory(ies) for company-owned bond ☐

Other (please specify)
(eg, power of attorney etc)

Name

Date

D	D	M	M	Y	Y	Y	Y
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Signature

Name

Date

D	D	M	M	Y	Y	Y	Y
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Signature

Name

Date

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Signature

Name

Date

D	D	M	M	Y	Y	Y	Y
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Signature

Address for correspondence

<input type="text"/>
<input type="text"/>
Postcode <input type="text"/>

If this is a change of address, please tick this box ☐ and send us a copy of a utility bill or bank statement dated within the last six months.

Are you changing your country of tax residency? Yes ☐ No ☐

If Yes, please tell us your new country of tax residence and complete our Tax Residence Self-Certification Form (INVF11804):