

Premier Group Personal Pension

Scheme Level Information

About this form

This form is for existing schemes adding Premier Group Personal Pension investments, with existing investments continuing to existing Group Personal Pension contracts.

For completion by Scheme Financial Adviser.

Please use black ink and write in **capital letters**. Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

Scheme Name

Scheme Number

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You may already have details of our Premier Group Personal Pension ("Premier").

If not, and if you would like more information, please speak to your Prudential Account Manager or call our Corporate Business Centre on 0808 234 3030. The information in this pack assumes that the scheme basis will be changed to take advantage of Premier terms.

Once the basis has been changed:

- **If contributions are payable on a variable basis,** Premier will apply for all new members and all single contributions, including single contributions for existing members. Regular contributions for existing members will continue to be invested in the current contract(s).
- **If contributions are not on a variable basis,** Premier will apply for new members and increases to contribution percentage rates for existing members. The current amounts of contributions for existing members (immediately before changing to Premier) will continue to be invested in the current contract(s).

The scheme level information on pages 2 – 5 of this form should be completed if Premier is to apply for an existing scheme. This will then confirm the new terms for the scheme. However, setting up scheme level details will also make it easier for individuals to apply for contributions/ benefits – because then fewer details are needed where the “scheme level” strategy is to apply.

The completed form should be returned with the first Premier application.

Part A – Commission Instructions

Please tick only one of the boxes below to confirm your commission basis. Please then complete the amount of commission in the right hand boxes.

Once the commission is set for the scheme, this will be used for all future members and contribution increases.

Please complete only one of the options from a) to e) below.			Please complete % amount to be taken	
a) Initial/Renewal*	<input type="checkbox"/>	Initial	<input type="text"/> %	
b) Level	Non Indemnified <input type="checkbox"/>		<input type="text"/> %	
	Indemnified <input type="checkbox"/>	for <input type="text"/> (Max 60 months)	<input type="text"/> %	
c) Fund Related	<input type="checkbox"/>		<input type="text"/> %	
d) Mixed Level/Fund Related for Level portion		Level	<input type="text"/> %	Fund Related
	Non Indemnified <input type="checkbox"/>			<input type="text"/> %
	Indemnified <input type="checkbox"/>	for <input type="text"/> (Max 60 months)		
e) Nil Commission	<input type="checkbox"/>			

* The appropriate rate of renewal commission will be determined from the rate of initial commission chosen.

Part B – Scheme Size

Please indicate expected average monthly contributions* over the first year (to 2 decimal places).

Please indicate expected number of members* 100 or more 99 or less tick one box only

* The average monthly contributions and number of members for whom regular contributions are to be paid includes those where contributions are invested only in earlier (pre-Premier) plans.

Part C – Routing Instructions

Please confirm the details for issue of:

Membership and Contribution Certificates	Yearly Statements	Leavers Packs
<input type="checkbox"/> Direct to members (summary information to you)	<input type="checkbox"/> Direct to members (scheduled information to you)	<input type="checkbox"/> Direct to leaver (copy to you)
<input type="checkbox"/> To you, to pass to members (you will still receive summary information)	<input type="checkbox"/> To you, to pass to members	<input type="checkbox"/> To you, to pass to leaver

Part D – Scheme Investment Strategy

If you want the Lifetime Investment Profile* (see below), you can also choose the investment linked fund(s) to be used initially, or you can leave the selection to us (i.e. use the “default funds”).

If you do NOT want the Lifetime Investment Profile, please complete the table below to confirm the investment fund(s) to be used.

Please show the percentage investment split.

Fractions of 1% should not be used.

Contributions can be invested in up to six funds.

The With-Profits Fund is not available for new or additional investment if within three years of Selected Retirement Age (and, if chosen, would be treated as an application to invest in the Exempt Cash Fund).

Chosen Fund	% to be invested in each fund
	%
	%
	%
	%
	%
	%
Total	100%

If you want the Lifestyle Investment Profile, please complete the rest of this section (ticking only one box). Otherwise, please go to Section E.

Lifetime Investment Profile targeting **retirement options** Lifetime Investment Profile targeting **an annuity**

Lifetime Investment Profile targeting **100% cash** Lifetime Investment Profile **targeting drawdown**

If you have chosen one of the Lifetime Investment strategies without choosing any investment linked fund(s), we will assume that you are happy to start with the funds we choose. There is a set basis, we don't make an individual choice.

* This option does not apply to With-Profits investments.

Part E – Scheme Waiver Benefit Strategy

Please tick required option

Waiver Benefit

Comprehensive Waiver Benefit*

No Comprehensive Waiver/Waiver Benefit offered at Scheme Level

If Waiver/Comprehensive Waiver Benefit required, please confirm:

(i) the Waiver Cessation Age

Age 60 OR Selected Retirement Age If Selected Retirement Age is over 65, Waiver/Comprehensive Waiver Benefit will stop at 65 if you tick this box

Part E – Scheme Waiver Benefit Strategy – Continued

(ii) Deferred period

3 months

6 months

12 months

If existing members (with contracts set up before 6 April 2001) have not already applied for Waiver/Comprehensive Waiver Benefit, we will need to get completed Alteration Forms from them (if waiver benefit is now to be requested). We may also ask for health information.

Waiver benefit is not available for new members of The Prudential (SAL) Personal Pension Scheme who join the scheme on or after 17 January 2005.

* Comprehensive Waiver Benefit is available only to members who joined the scheme before 6 April 2001. Members who joined between 6 April 2001 and 17 January 2005 applied for standard Waiver Benefit.

Part F – Scheme Contribution Basis

If the scheme is already split into Sections/Tiers, and you want the current basis to continue, please go straight to section H i.e. you can ignore sections F and G.

Do you want the Standard Contribution Rates?

Yes

No

If Yes, please complete the details below. If No, please go to section G.

If you want more than 12 sections please copy this page and use the copy to give us the additional information.

Please show the names to be used for all the Sections in the table below. If you want only one section, you should complete Section Number 1.

You will also have to confirm the Section to which each of the existing members should be transferred.

- Please ask the employer to use the most recent membership schedule to tell us the sections if the scheme is to be split into sections.
- If there are any members where the plan(s) are already paid-up, or full contribution holidays currently apply, we will assume that these members should be included in Section 1 unless you tell us to include them in another section.

Number	Section Name
1/whole scheme	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	

- For sections which do NOT have tiered contribution rates, please complete the table below to show the contribution levels and the Selected Retirement Age.
- If you want Tiered contributions for any of the sections, please give the details in section G.
- Member contributions can be shown as NIL.
- Employer and member contributions for each section must both be percentage rates or both fixed amounts.

