

Investment alteration request form

How to fill out this form

Please use black ink and CAPITAL LETTERS or tick ☒ as necessary.

Any corrections must be initialled; do not use correction fluid.

About this form

Please fill in the form to:

- Alter investment strategy (existing funds and/or future contributions)
- Select a Lifetime Investment Profile

This form is to be used for the following plans: Premier pension plans, Executive Pension Plans (EPP), MaxiPension Plus, MaxiPension, OmniPension Plus or OmniPension.

It can also be used with the following M&G plans, which were taken out with M&G Pensions & Annuity Company: Executive Pension Plan, Legacy Executive Pension Plan, Executive Retirement Account, Company Pension Plan and the PAXUS or Legacy AVC plans.

Please read the Key Features Document as this gives important information about the key risks and benefits of the product to help you make a decision.

You should also read the Fund Guide, available at pru.co.uk/funds/guides, as this gives you full details of the funds available, their objectives, Prudential's risk rating of these funds and the charges and costs to help choose the funds suitable for your needs.

It's the Trustee's responsibility to give a copy of Prudential's Data Protection Notice to members to be admitted to the scheme at the time their personal data is collected.

Important information

- Once an investment switch has been processed it cannot be cancelled.
- Re-allocation of existing investments (or redirection of future contributions) to the With-Profits Fund is not allowed for members within three years of Normal Retirement Date and, if chosen, will instead be invested in the Cash Fund. Please remember that the value of the Cash Fund can fluctuate depending on the value of the underlying assets. It also has a guarantee that the price at which you can sell units in the fund – the bid value – will not fall.
- The Fund Management Charge may not, in future, be taken in the way described in the plan booklet. However, the total Fund Management Charge taken will not be greater than the corresponding figure shown for the relevant fund in our table of Fund Management Charges. Details are available on request.
- A Market Value Reduction may be applied to switches out of With-Profits. See the Key Features Document for further details.
- The value of the investments that make up the plan can go down as well as up. The value can even fall below the amount invested.

Section A – Scheme and member details

Scheme name

Scheme or plan number

We request the revised investment strategy as detailed in this form.

Signed for and on behalf of the Trustees

Date

Ignore the rest of this section unless the plan to be altered relates to an individual member or an individual plan.

Member (if applicable)

Plan number

National Insurance Number

If this request is to apply to all the member's plans in this scheme please tick this box
(If this box is not ticked, this request will apply to the above plan only).

☐

Section B – Lifetime Investment Profiles

Please complete this section if you want a Lifetime Investment Profile.

These options don't apply to With-Profits investments or to the M&G plans listed on page 1 of this form.

If you select one of these options using this form, any switches to the option selected will only start after the addition has been confirmed by us and when your plan has 10 years or less to go until the Normal Retirement Date.

Please tick only one option.

Lifetime Investment Profile targeting **retirement options**

☐

Lifetime Investment Profile targeting **an annuity**

☐

Lifetime Investment Profile targeting **100% cash**

☐

Lifetime Investment Profile targeting **drawdown**

☐

Section C – Target Investment Strategy

Please complete this section to specify the target percentage in each investment fund selected. We'll calculate the percentage of units to be cancelled to meet your Target Investment Strategy.

DO NOT complete this section if you want to give your exact requirements on the percentage(s) of existing investments to be cancelled – complete '**Section D – Detailed fund selection**' instead.

Fractions of 1% should not be used.

The maximum number of funds which can be used for investment at any one time is 20.

Fund	Target %
Total	100%

Section C – Target Investment Strategy – continued

Do you want to alter

Tick as
appropriate

Investment of both existing and future contributions?

☐

Existing investments only?

☐

Investment of future contributions only?

☐

If Section C is completed, do not complete Section D.

Section D – Detailed fund selection

Please complete this section to specify your exact requirements on the percentage(s) of existing investments to be cancelled, and the percentage(s) of cash realised to be applied to the revised fund selection and the redirection of future contributions.

Existing investments

Fund	% to be cancelled

Application of existing investments

Fund	% of cash realised to be applied
Total	100%

Future contributions

Fund	% contribution
Total	100%

