

Investment alteration request form

How to fill out this form

If filling in this form by hand, please use black ink and CAPITAL LETTERS. Any corrections should be initialled. Please do not use correction fluid.

Some information before you start

Use this form to

- Alter an investment strategy for existing funds and/or future funds.
- Select a Lifetime Investment Profile*

For changes to the Flexible Retirement Plans, please complete the Investment alteration form – FRPF10149.

* Not available for plans originally taken out with M&G Pensions and Annuity Company.

Plans originally taken out with M&G Pensions and Annuity Company.

For the following products

This form is for the Premier range of products originally taken out with Scottish Amicable and M&G# for:

- Personal Pension
- Stakeholder pension
- Group Personal Pension
- Group Stakeholder
- Free Standing AVC
- Section 32 buy-out
- Investment linked Retirement Annuity Plans.

When you have finished filling in this form

Post it to: Freepost, Prudential, Lancing BN15 8GB.

Section 1 – Investor's details

Investor name

Plan number

National Insurance Number

If the box is not ticked this request will apply to the above plan only.

☐

This request applies to the plan number listed above OR

☐

This request applies to all my pension plans listed within the range listed at the top of this form.

I request the revised investment strategy as detailed in this form.

Signatures

Date

Section 2 – Target investment strategy

Complete this section to specify the target percentage in each investment fund selected. We will calculate the percentage of units to be cancelled to meet your target investment strategy.

DO NOT complete this section if you want to specify your exact requirements on the percentage(s) of existing investments to be cancelled – instead complete Section 3 – Detailed fund selection.

Target investment strategy

Fund	Target %
Total	100%

Fractions of 1% should not be used.

Do you want to alter

Tick as appropriate

Investment of existing and future contributions?

☐

Existing investments only?

☐

Investment of future contributions only?

☐

The maximum number of funds which can normally be used for investment at any one time is 20 funds.

If Section 2 is completed, do not complete Section 3.

Section 3 – Detailed fund selection

Complete this section to specify your exact requirements on:

The percentage(s) of existing investments to be cancelled and the percentage(s) of cash realised to be applied to the revised fund selection and the redirection of future contributions.

The maximum number of funds which can normally be used for investment at any one time is 20.

Existing investments

Fund	% to be cancelled

Fractions of 1% should not be used.

Application of existing investments

Fund	% of cash realised to be applied
Total	100%

Fractions of 1% should not be used.

Future contributions

Fund	% contribution
Total	100%

Fractions of 1% should not be used.

Section 4 – Lifetime investment profiles

For lifetime investment profiles, please complete this section. Any automatic switching which takes place as part of these options may not start until after we have confirmed your chosen option has been added to your plan.

These options do not apply to any investments in the With-Profits funds and are not available for plans originally taken out with M&G Pensions and Annuity Company.

Please tick only one box.

- | | |
|---|--------------------------|
| Lifetime Investment Profile targeting retirement options | <input type="checkbox"/> |
| Lifetime Investment Profile targeting an annuity | <input type="checkbox"/> |
| Lifetime Investment Profile targeting 100% cash | <input type="checkbox"/> |
| Lifetime Investment Profile targeting drawdown | <input type="checkbox"/> |

Notes

General

Details of Prudential funds can be found in your fund guide available from your adviser or at pru.co.uk/funds/guides

Once an investment switch has been processed it cannot be cancelled.

Switches out of With-Profits may incur a Market Value Reduction. This may have the effect of reducing your fund value. Please see your Key Features Document or fund guide for more information.

Re-allocation of existing investments (or redirection of future contributions) to the With-Profits Fund is not allowed within three years of your selected retirement age and, if chosen, will instead be invested in the Cash Fund. Please remember that the value of the Cash Fund can fluctuate depending on the value of the underlying assets. It also has a guarantee that the price at which you can sell units in the fund – the bid value – will not fall.

The Management Charge for Prudential funds may not, in the future, be taken in the way described in your plan booklet. However, the Management Charge taken will not be greater than the corresponding figure shown for the relevant fund in our table of Management Charges. Details are available on request.

Section 32 Plans

The Guaranteed Minimum Pension (GMP) value must be invested in the With-Profits Fund.

Premier Stakeholder/Group Stakeholder

The range of funds available will be restricted to those that can be provided within the Maximum Fund Management Charge of 1%.

Please remember that the value of your investment can go down as well as up and so you may not get back the amount you put in.

How we use your personal information

For a copy of our latest Data Protection Notice, please visit pru.co.uk/mydata. This details how and why we use your personal information (including any sensitive personal information), who we may share it with and your rights around your personal information. Alternatively, you can request a hard copy to be sent to you by writing to The Data Protection Officer, Customer Service Centre, Lancing BN15 8GB.

Please note that we collect personal information from you that is necessary for us to either provide you with the product or service you've requested or to comply with statutory or contractual requirements. Unfortunately if you don't provide all of the information we require this may mean we are unable to provide our products and services to you.