

Confirmation of verification of identity form

How to fill out this form

Please use black ink and CAPITAL LETTERS or tick ☒ as necessary.

Any corrections must be initialled. Please don't use correction fluid as this will invalidate your application.

If you need more space to give details on any of the questions, please continue on a separate sheet, cross-referring to the section. As you complete the form, please read the notes which are there to help you.

Please note:

A PO Box address can not be used for verification

Questions about this form?

Advisers

- in the first instance, get in touch with your usual Prudential contact
- or please call our Adviser Centre on **0808 234 0808**

We might record your call for training and quality purposes. To find out more about how we use your personal data please visit pru.co.uk/mydata

Policyholders

- please speak to your financial adviser

Our web addresses are pruadviser.co.uk for financial advisers and pru.co.uk for policyholders.

IMPORTANT: All sections of this form must be complete, otherwise, the form may be rejected.

Part 1 – Details of customer (see explanatory notes on page 2)

Introduction by a UK regulated firm

Full name of customer

Type of entity (corporate, trust, etc)

Plan number

Location of business (full operating address)

Postcode

Registered office in country of incorporation

Postcode

Registered number, if any (or appropriate)

Relevant company registry or regulated marketing listing authority

Names and dates of birth of directors (or equivalent)

Names and dates of birth of principal beneficial owners (over 25%)

Part 2 – Confirmation

IMPORTANT: It is the responsibility of the Independent Financial Advisers (IFAs) to maintain the documents for the duration of the M&G relationship that were used to verify the customer and that M&G can request these documents for sight at any point.

I/we confirm that:

- (a) the information in Part 1 was obtained by me/us in relation to the customer;
- (b) the evidence I/we have obtained to verify the identity of the customer:
 - Meets the standard evidence set out within the guidance for the UK Financial Sector issued by JMLSG; or
 - Exceeds the standard evidence (written details of the further verification evidence taken are attached to this confirmation)
- (c) Based on the knowledge I/we have gained of the customer we have:
 - No reason to believe that the tax residency self-certification requires any follow up action
 - Reason to believe that the tax residency self-certification requires follow up action

If a follow up action is required, please indicate why

Signature

Date

D	D	M	M	Y	Y	Y	Y
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Name

Position

Part 3 – Details of introducing firm (or sole trader)

Full name of regulated firm (or sole trader)

Full postal address

<input type="text"/>
<input type="text"/>
<input type="text"/>

Postcode

Email address

Telephone number

FCA reference number

Explanatory notes

- "Relevant company registry" includes other registers, such as those maintained by charity commissions (or equivalent) or chambers of commerce.
- Please note this form cannot be used to verify the identity of any customer that falls into one of the following categories:
 - those who are exempt from verification as being an existing customer of the introducing firm prior to the introduction of the requirement for such verification;
 - those who have been subject to Simplified Due Diligence under the Money Laundering Regulations; or
 - those whose identity has been verified using the source of funds as evidence.