

# Member's application form

Please use black ink and write in CAPITAL LETTERS or tick ☒ as appropriate.

Any corrections must be initialled. Please do not use correction fluid as this will invalidate your application.

\* There are a number of possible ways you may trigger the Money Purchase Annual Allowance (MPAA) when accessing your benefits flexibly. Your pension scheme or provider will have informed you if this is the case.

Occupation is an industry requirement.

## About this form

Part 1 to be completed in block letters by the member. Parts 2 to 7 to be completed by the trustees. Part 8 to be completed by the financial adviser. Please tick the required answer where an option is given.

Please read the Key Features Document as this will provide you with important information regarding the key risks and benefits of the product to help you make a decision.

Please also read your fund guide, available at [pru.co.uk/funds/guides](https://pru.co.uk/funds/guides), as this will provide you with full details of the funds available, their objectives,

Prudential's risk rating of these funds and the charges and costs to help you select the funds suitable for your needs.

**Warning:** Please ensure the following answers are true and complete. Failure to disclose material facts (facts likely to influence Prudential's assessment or acceptance of this application) may lead to cancellation of the contract.

If you're in any doubt as to whether facts are material, full details should be provided. Copies of the plan terms and conditions and the completed application form are available on request.

## Part 1 – Member's personal details

Title

Mr

☐

Mrs

☐

Miss

☐

Ms

☐

Other

Forename(s)

Surname

Date of birth

D

D

M

M

Y

Y

Y

Y

National Insurance (NI) number

Sex

Female

☐

Male

☐

Nationality

2nd Nationality (if applicable)

If Yes, please specify the date these were accessed

D

D

M

M

Y

Y

Y

Y

Have you previously accessed benefits flexibly?\*

Yes

☐

No

☐

What is your occupation?

(Occupation examples: Teacher, Sales, Engineer, Project Manager)

Occupation Sector/Industry

(Industry examples: Primary education, Construction of commercial buildings, Banking)

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## Part 1 – Member's personal details – continued

### Member's declaration

I declare that the information given by me above is true and complete. I agree to join the scheme and to be bound by the rules. I agree to my contributions (if any) being deducted from my earnings.

### How we use your personal information

We, Prudential UK (part of M&G plc), may receive your personal information from the trustees of a pension scheme, your employer, or other financial services organisations (known as a Data Provider) and/or direct from you. Regardless of where we obtain such information from, we take the privacy and protection of your personal information seriously. We own the personal information we hold about you and decide what happens to it. This makes us a Data Controller in respect of the personal information. You should note that the Data Provider will also be a data controller in respect of the personal information they hold about you.

We've set out below information about our processing of your personal information, what rights you have, and how you can get in touch if you want to know more.

When we say personal information, we mean information about you which we receive from a Data Provider or any other personal information you provide directly to us. For example, this may include your name, date of birth and contact details. We collect personal information from you that is necessary for us to either provide you with the product or service you've requested or to comply with statutory or contractual requirements. Unfortunately if you don't provide all of the information we require this may mean we are unable to provide our products and services to you.

### Part A – How we use your personal information and why

We, M&G plc and our Business Partners, will use the personal information for the following purposes:

- the administration of our products and services, including to enable us to perform our obligations under any contracts or policies to you and to provide any relevant services as discussed with you prior to any purchase of a product or service
- complying with any regulatory or other legal requirements
- carrying out checks using agencies such as credit reference agencies, tracing companies, or publicly available information (See Part B for more)
- the provision of customer services – like to reply to a question, or tell you that something's changing
- automated decision-making or profiling (see Part C for more)
- keeping your information on record and carrying out other internal business administration

In addition, we, M&G plc, and our Marketing Partners, will use the personal information you provide to us, together with other information, to send you direct marketing offers by electronic and non-electronic means including by post, as well as sending you introductions to products and services from carefully selected third parties also by post. Please see Part G for further details.

Some of the purposes above are necessary to allow us to perform our contractual obligations to you and to enable us to comply with applicable laws and regulation. We may also rely on legitimate interests or other legal bases in using and sharing your personal information for the purposes described above to improve our products and services. This allows us to explore ways to develop our business and to gain insights into how our products and services are used. To the extent that we need your consent to use your personal information for the purposes described above, you explicitly provide your consent by signing and returning this form, or as set out in Part G as appropriate. To the extent that your personal information is provided to us by a Data Provider and we need your consent to use your personal information for the purposes described above, the Data Provider is responsible for providing the consent to us.

### Who we share your personal information with and why

We may share your personal information within M&G plc and with our Business Partners, for any of the purposes set out in Part A. If you have a joint policy or investment, the other person may receive your personal information too. If appropriate, we may also pass on your personal information to financial crime prevention agencies, any legal, regulatory or government bodies.

As we, M&G plc, and some of our Business Partners are global companies, we might need to send your personal information to countries that have different data protection laws to the UK or the European Economic Area. These transfers will only be to countries in respect of which the European Commission and, where applicable, the UK Government has issued a data protection 'adequacy' decision, or to other countries, such as India or the United States of America, but only where appropriate safeguards have been put in place first. In more limited circumstances, we may also need to rely on a derogation under applicable privacy laws.

If you want to know more about these safeguards – like our use of the European Commission's or UK's Model Clauses which govern the transfer of information outside of the European Economic Area and UK respectively – further information is available on request.

Any transfer of your personal information will always be done securely.

## Part 1 – Member's personal details – continued

We keep your personal information for a set amount of time.

Your personal information will be stored either for as long as you (or your joint policyholder) are our customer, or longer if required by law or as is otherwise necessary. It'll always be in line with our data retention policy.

### Part B – Reference checks

For certain products, we may use approved credit reference agencies, tracing companies, financial crime prevention agencies, or publicly available information, to help us to check your identity, as well as to prevent fraud and money laundering; this may include checks on your current or previous addresses. Results of these may be recorded for future reference.

These checks may also be carried out for a joint policy holder or person(s) that you provide personal information on. Should we ever lose contact with you, we may use these agencies to verify your address to help us get back in touch.

### Part C – We may use your personal information to make automated decisions or profile you

We, M&G plc, our Business Partners, and our Marketing Partners may use your personal information to make automated decisions affecting you or to conduct other profiling (for example, marketing profiling).

To the extent that we conduct such automated decision making activity, we'll provide you with further information at the appropriate time.

### Part D – Use of your sensitive personal information

For certain products or services, we'll need to process your sensitive personal information, such as information relating to health, genetics, biometric identifiers and sexual orientation, a Data Provider may from time to time provide this to us. In such circumstances the Data Provider is responsible for obtaining any explicit consent necessary for us to process this kind of personal information. Alternatively, if you provide sensitive personal information to us, to the extent that we need your explicit consent to process this kind of personal information in the manner described in Parts A, B, and C, you explicitly provide your consent by signing and returning this form.

### Part E – You're in control

When it comes to how we use your personal information, you've got the right to:

- request a copy of your personal information for free (we may charge you for this if the request is manifestly unfounded or excessive)
- in certain circumstances request that we move your personal information to another organisation if you want us to
- request that we correct anything that's wrong, or complete any incomplete personal information
- ask us to delete your personal information if it is no longer needed for the purposes set out in Part A or if there is no other legal basis for the processing
- limit how we use your personal information or withdraw your consents (including automated decision making) you have given for the processing of your personal information
- object to us using your personal information for direct marketing (including related profiling) or other processing based on legitimate interests
- complain to a data protection authority or another independent regulator about how we're using it.

If you want to do any of these things, or would like an explanation as regards these rights, we've explained how you can get in touch in the Contact Us section.

If you do need to speak to us, it'll be useful to have to hand that the data controller of your personal information is Prudential UK. Prudential UK have also appointed a Data Protection Officer who can be reached at the address shown in the Contact Us section of this document.

We may monitor or record calls or any other communication we have with you. This might be for training, for security, or to help us check for quality.

As set out at the start of this notice, a Data Provider is also a Data Controller in respect of your personal information and you are likely to have similar rights in respect of the personal information held by a Data Provider.

### Part F – Acting on someone else’s behalf?

If you give us personal information about another person (or persons), we’ll take that to mean they have appointed and authorised you to act on their behalf. This includes providing consent to:

- our processing of their personal information and sensitive personal information (as we’ve explained in Parts A, B, C, and D above)
- you getting any information protection notices on their behalf.

If for any reason you are concerned as to whether you are permitted to provide us with the other person’s information, please contact us on the phone number below before sending us anything.

### Part G – Direct marketing

We and M&G plc will still send you information by post about the Prudential UK and M&G plc’s products and services and carefully selected third parties.

Additionally, from time to time, Prudential UK and M&G plc would like to contact you by electronic means with details about products, services and any special offers. Please note that any consent you give will not apply to M&G Investments Group and Prudential International Assurance plc as they operate their own customer databases and may contact you separately.

If you consent to us contacting you for this purpose by electronic means, please tick to say how we may contact you (tick as many or as few as you like):

Email ☐ Phone ☐ Text ☐

And if you change your mind, and/or you would like to opt-out of receiving non-electronic direct marketing, it’s easy to let us know. Just call us on 0800 000 000.

### Contact us

If you want to exercise your rights in Part E or if you require any other information about any other part of this notice, you can contact us in a number of different ways.

Write to us at:  
**Customer Service Centre  
Prudential  
Lancing  
BN15 8GB**

Call us on: **0800 000 000**

Or visit: **www.pru.co.uk**

Prudential UK means The Prudential Assurance Company Limited and Prudential Pensions Limited, as appropriate.

M&G plc means any affiliates of Prudential UK (including, Prudential Distribution Limited, Prudential International Assurance plc, PGDS (UK ONE) Limited, Prudential Life Time Mortgages Limited, M&G Global Services Private Limited, M&G Investments Group, M&G Wealth Advice Limited and Prudential Corporate Pensions Trustee Limited).

Business Partners means our service providers, accountants, auditors, IT service and platform providers, intermediaries, reinsurers, retrocessionaires, investment managers, agents, pension trustees (and other stakeholders), scheme advisors, introducers, selected third party financial and insurance product providers, and our legal advisers.

Marketing Partners means our service providers, intermediaries, pension trustees (and other stakeholders), scheme advisors, introducers and selected third party financial and insurance product providers.

For your own benefit and protection, you need to read carefully the documentation provided before signing this form. You also need to read carefully any further documentation provided to you in the future. If there is anything you do not understand, please ask us for further information.

Signed by the member

Date

D	D	M	M	Y	Y	Y	Y
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## Part 2 – Scheme details

Principal employer

Employer by whom member is paid (if different)

Scheme number (if known)

				P			
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Date of entry to scheme

D	D	M	M	Y	Y	Y	Y
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Normal Retirement Date (this should be a birthday)

Have you verified the member's date of birth?

Yes ☐ No ☐

Is the Scheme Investment Strategy to apply?  
If NO, please ensure Part 6 is completed.

Yes ☐ No ☐

## Part 3 – Regular contributions details

If a single contribution is being made please ensure Part 4 is completed.

Yearly earnings

£

This earnings figure will allow us to give you more meaningful quotations. We will compare estimated eventual benefits with the member's estimated retirement earnings. If you don't give an earnings figure, we will use the current average weekly earnings figure projected to the member's retirement date for the comparison.

Employer's regular contribution (exclusive of member's contribution)

£

Member's regular contribution

£

Frequency of contributions

Monthly ☐ Yearly ☐

Rate of annual indexation required on regular contributions (select one). (See Note 1).

% P.A. <input type="text"/>	OR	% A.W.E. <input type="checkbox"/>	OR	NIL. <input type="checkbox"/>
(whole number only min. 5% max. 15%)		(tick for Average Weekly Earnings)		(tick if required)

When do you want contributions to start?

D	D	M	M	Y	Y	Y	Y
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Please see your Key Features Document for information on contribution limits.

## Part 4 – Single contribution details

Single contribution  
(if Transfer Value use separate form ref Y735)

£

Member's contribution, if any, included in the above  
single contribution

£

## Part 5 – Lump sum death benefit

To be completed only if a lump sum death benefit is required.

Health questionnaire (form ref Y816) should be completed where the Whole Life Option has been selected or where lump sum benefits exceed any automatic cover available. It may also be requested if the member has been absent from work, depending on the reason.

Amount of lump sum death benefit required

£

Is the contribution for lump sum death benefit to be  
included in the contributions shown above?  
(must be consistent with scheme death benefit basis)

Yes

☐

No

☐

If YES, is the return of the retirement fund to  
be included in or additional to the lump sum  
death benefit?

Included

☐

Additional

☐

Is the Whole Life Option required?

Yes

☐

No

☐

Has the member been absent from work due to  
illness or injury in the last two months?

Yes

☐

No

☐

If YES, please state the duration and reason for absence.

## Part 6 – Investment details

To be completed only if the investment strategy for the member differs from the Scheme Investment Strategy.

Please indicate which funds are to be used for investment for the member (maximum of six funds). Fractions of 1% should not be used. New investment in the With-Profits Fund is not permitted within three years of the member's Normal Retirement Date. If you do select the With-Profits Fund and the member is within three years of Normal Retirement Date, this will be treated as an application to invest in the Cash Fund.

### Lifestyle Investment Profiles

Lifetime Investment Profile targeting **retirement options**

☐

Lifetime Investment Profile targeting **an annuity**

☐

Lifetime Investment Profile targeting **100% cash**

☐

Lifetime Investment Profile targeting **drawdown**

☐

If you want a Lifetime Investment Profile, you can also choose the investment linked fund(s) to be used initially by completing the table below, or you can leave the selection to us. There is a set basis, we do not make an individual choice. Your fund guide shows what this will be.

If you do NOT want a Lifetime Investment Profile, please complete the table below to confirm the investment fund(s) to be used.

See Note 2 for additional details.

Fund	Regular	Single
Total	100%	100%

## Part 7 – Trustees' declaration

We declare that, to the best of our knowledge and belief, the information given in this application is true and complete. We agree that the member named in this application should be admitted as a member of the scheme.

Signed for and on behalf of the trustees

Date

D	D	M	M	Y	Y	Y	Y
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## Notes

1. When selected, indexation will apply to total contributions (existing and new) being paid to the scheme for the member. The first increase will take place on the next Scheme Review Date provided that this is at least six months after the commencement of contributions in terms of this application.
2. A Lifetime Investment Profile, if selected, applies only to the benefits arising from the contributions in this application. If you want a Lifetime Investment Profile added to any existing investment linked benefits please complete form P863.

## Part 8 – Essential information – to be completed by the financial adviser

Source of funds for this investment

(eg, UK bank account, overseas bank account.)

Source of wealth

(Origin of funds for this investment, for example: employer contribution or trustee bank account.  
If other, please provide details.)

### For Prudential use only

Cheque acknowledgement number

Cheque amount

£

Date stamp